

03/01/02

J1130 U.S. PTO

03/04/02

A

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new non-provisional applications under 37CFR§1.53(b))

Attorney Docket  
No.

KLR 8096.001

First Inventor or Application  
Identifier

Vilcauskas, et al.

Title

AUDIO OWNERSHIP SYSTEM

Express Mail Label No.

EL 915419779 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

- 1.
- ☒
- \*Fee Transmittal Form (e.g. PTO/SB/17)

(Submit an original and a duplicate for fee processing)

- 5.
- ☐
- Microfiche Computer Program (Appendix)

- 2.
- ☒
- Specification

Total pages 27

6. Nucleotide and/or Amino Acid Sequence Submission
- 
- (if applicable, all necessary)

(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Federally Sponsored Research
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

- a.
- ☐
- Computer readable copy

- b.
- ☐
- Paper copy (identical to computer copy)

- c.
- ☐
- Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

- 3.
- ☒
- Drawing(s) (35 USC 113)

[Total Pages 15]

- 7.
- ☐
- Assignment Papers (cover sheet & document(s))

- 8.
- ☐
- 37 CFR §3.73(b) Statement
- ☐
- Power of Attorney
- 
- when there is an assignee

- 9.
- ☐
- English translation document (if applicable)

4. Oath or Declaration

[Total Pages 2]

- 10.
- ☐
- Information Disclosure Statement (IDS) /PTO
- 
- 1449
- ☐
- Copies of IDS Citations

- a.
- ☐
- Newly executed (original or copy)
- 
- UNSIGNED

- 11.
- ☐
- Preliminary Amendment

- b.
- ☐
- Copy from a prior application (37 CFR §1.63(d))
- 
- (for continuation/divisional with Box 16 completed)

- 12.
- ☒
- Return Receipt Postcard (MPEP 503)
- 
- (should be specifically itemized)

- i.
- ☐
- Deletion of Inventor(s)
- 
- Signed statement attached deleting inventor(s)
- 
- named in the prior application,
- 
- see 37 CFR §§1.53(d)(2) and 1.33(b)

- 13.
- ☐
- \*Small Entity Statements (PTO/sb/09-12)
- ☐
- Statement filed in prior
- 
- application. Status still proper and desired.

- 14.
- ☐
- Certified Copy of Priority Document(s)
- 
- (if foreign priority is claimed)

\* Note for Items 1 & 13: In order to be entitled to pay small entity fees, a small entity statement is required (37 CFR §1.27), except if one filed in a prior application is relied upon (37 CFR §1.28)

- 15.
- ☐
- Other

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_/\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group No./Art Unit \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

(Insert customer number or attach bar code label here)

or ☒ Correspondence address below

Name Kevin L. Russell

Address 601 SW Second Ave., Suite 1600

City Portland

State OR

Zip Code

97204-3157

Country US

Telephone (503)227-5631

FAX

(503)228-4373

Name (print type) Kevin L. Russell

Registration No.

38,292

Signature

Date

March 1, 2002

Jc971 U.S. PTO  
10/087274

03/01/02

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete If Known

Application Number

Filing Date

First Named Inventor

Examiner Name

Group/ Art Unit

Attorney Docket No.

TOTAL AMOUNT OF PAYMENT

\$370

METHOD OF PAYMENT (check one)

FEE CALCULATION (continued)

1. ☒ The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to:

Deposit Account Number

03-1550

Deposit Account Name

Chemoff Vilhauer McClung & Stenzel

☒ Charge any additional fee required under 37 CFR 1.16 & 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed

☒ Check

☐ Credit Card

☐ Money Order

☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Fee Description Fee Paid

101 740 201 370 Utility filing fee

\$370

106 330 206 165 Design filing fee

107 510 207 255 Plant filing fee

108 740 208 370 Reissue filing fee

114 160 214 80 Provisional filing fee

SUBTOTAL (1)

\$370

2. EXTRA CLAIM FEES

Extra Claims

Fee from below

Fee Paid

Total Claims

1

-20\*\* =

9

x

0

Indep. Claims

1

-3\*\* =

42

x

0

Multiple Dependent

=

0

\*or number of previously paid, if greater. For reissues, see below.

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Fee Description

103 18 203 9 Claims in excess of 20

102 84 202 42 Independent claims in excess of 3

104 280 204 140 Multiple dependent claim, if not paid

109 84 209 42 \*\*Reissue independent claims over original patent

110 18 210 9 \*Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

\$0

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Fee Fee Fee Fee Description Fee Paid

Code (\$) Code (\$) Code (\$) Code (\$)

105 130 205 65 Surcharge - late filing fee or oath

127 50 227 25 Surcharge-late provisional filing fee or cover sheet

139 130 139 130 Non-English specification

147 2,520 147 2,520 For filing a request for ex-parte reexamination

112 920\* 112 920\* Requesting publication of SIR prior to Examiner action

113 1840\* 113 1840\* Requesting publication of SIR after Examiner action

115 110 215 55 Extension for reply within first month

116 400 216 200 Extension for reply within second month

117 920 217 460 Extension for reply within third month

118 1,440 218 720 Extension for reply within fourth month

128 1,960 228 980 Extension for reply within fifth month

119 320 219 160 Notice of Appeal

120 320 220 160 Filing a brief in support of an appeal

121 280 221 140 Request for oral hearing

138 1,510 138 1,510 Petition to institute a public use proceeding

140 110 240 55 Petition to revive - unavoidable

141 1,280 241 640 Petition to revive - unintentional

142 1,280 242 640 Utility issue fee (or reissue)

143 460 243 230 Design issue fee

144 620 244 310 Plant issue fee

122 130 122 130 Petitions to the Commissioner

123 50 123 50 Processing fee under 37 CFR 1.17(q)

126 180 126 180 Submission of Information Disclosure Stmt.

581 40 581 40 Recording each patent assignment per property (times number of properties)

146 740 246 370 Filing a submission after final rejection (37 C.F.R. 1.129(a))

149 740 249 370 For each additional invention to be examined (37 C.F.R. 1.129(b))

179 740 279 370 Request for Continued Examination (RCE)

169 900 169 900 Request for expedited examination of a design application

Other (specify)

SUBTOTAL (3)

\$0

SUBMITTED BY

Complete (if applicable)

Name (print type)

Kevin L. Russell

Registration No.

38,292

Telephone

(503) 227-5631

Signature

Date

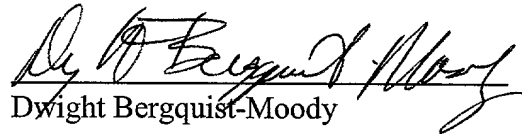
March 1, 2002

**CERTIFICATE OF MAILING  
BY EXPRESS MAIL**

Express Mail No.: EL 915419779 US

Date of Deposit: March 1, 2002

I hereby certify that the patent application attached hereto entitled **AUDIO OWNERSHIP SYSTEM**, Andy Vilcauskas and Bryan Hunter, inventors, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and is addressed to, The Honorable Commissioner for Patents and Trademarks, Box Patent Application, Washington, D.C. 20231.

  
Dwight Bergquist-Moody